

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Small et al.

Title:

Catalytic Composition for Chemical-Mechanical Polishing, Method of

Using Same, and Substrate Treated with Same

Serial No.:

10/619,708

Filed:

July 14, 2003

Examiner:

Unknown

Group Art Unit:

3723

Docket No.:

CHEM.004US2

Conf. No.:

7752

Certificate of Mailing Under 37 CFR 1.8

Signature

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying form PTO-1449 are called to the attention of the Examiner for the above patent application.

This application has a filing date after June 30, 2003. Copies of the U.S. Patents and U.S. Published Patent Application documents listed on the accompanying Form PTO-1449 are not enclosed. Copies of the other publications listed on the accompanying Form PTO-1449 are enclosed.

Listing of these documents shall not be construed as:

- 1. an admission that any of these documents is necessarily prior art with respect to the instant invention;
 - 2. a representation that a search has been made; or
- 3. an admission that information in any of these documents is, or is considered to be, material to patentability as defined in § 1.56(b).

This information disclosure statement is submitted under 37 C.F.R. § 1.97(b) and consequently no fee should be required. The Commissioner is authorized, however, to charge any fee that may be required, or to credit any overpayment, against Deposit Account No. 502664. This form is being submitted in duplicate.

Respectfully submitted,

K. Alison de Runtz

Reg. No.: 37,119

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U.S. Depar	tment of	Commerce, Patent a	nd Trademark	Atty. Docket No.		1	Application	on No
INFORMATION DISCLOSURE STATEMENT BY				CHEM.004US2			10/619,708	
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The management	r _{(a}		U.S. P	atent Documents				
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
	1	4,959,113C1	3/13/01	Roberts				
	2	5,958,228	9/28/99	Tokushima et al.				
	3	5,997,620	. 12/7/99	Kodama et al.				
	4	6,068,787	5/30/00	Grumbine et al.				
	5	6,117,775	9/12/00	Kondo et al.				
	6	6,159,076	12/12/00	Sun et al.				
	7	6,299,795	10/9/01	Liu et al.				
	8	6,293,848	9/25/01	Fang et al.	-			
	9	6,332,831	12/25/01	Shemo et al.				
	·	U	.S. Published Pa	ntent Application Docu	ments			
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
	10	2001/0037821A1	11/8/01	Staley et al.				
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			Foreign	Patent Documents		,		
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	<u> </u>	Document	Date	Country	Class	Subclass	Yes	No
	<u> </u>							
		OTHER AR	T (Including Au	ithor, Title, Date, Pert	inent Pages, E	ctc.)		
•	11	Fenton, H.J.H., "C	Oxidation of Tart	aric Acid in Presence of	FIron," 1894; (55, pp. 899-910	0.	
	12	Symons, M.C.R., "Evidence for Formation of Free-Radical Intermediates in Some Reactions Involving Periodate," (1955), pp. 2794-2796.						
								
Examiner			Date Considere	ed				•